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| CERTIFICATE OF MAILING 37 C.F.R. 1.8 | |
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below: | |
| 5/14/02 Date | <i>Jonathan Hanes</i> Signature |

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:
David J. Lineman
and Scott M. Wierschem

Serial No.: 09/966,006

Filed: 9/28/01

For: METHOD AND APPARATUS FOR
ACTIVELY MANAGING USERS AND
COMPUTERS IN A NETWORK

Group Art Unit: 2131

Examiner: Unknown

Atty. Dkt. No.: 12225.0035.NPUS00

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

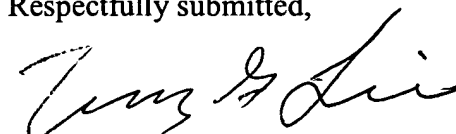
In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b), or that such information is prior art.

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement. Should any fees be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees from Deposit Account No. 01-2508/12225.0035.NPUS00.

Applicants respectfully request that the listed documents be considered and made of record in the present case, and that the Examiner initial the appropriate spaces on the accompanying form to evidence the same.

Respectfully submitted,



Terril G. Lewis, Esq.

Reg. No. 46,065

Attorney for Applicant

May 14, 2002

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Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.

12225.0035.NPUS00

Serial No.

09/966,006

Applicant

Lineman et al.

Filing Date:

September 28, 2001

Group:

2131

U.S. Patent Documents

See Page N/A

Foreign Patent Documents

See Page N/A

Other Art

See Page 1

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation |
|-------------|-----------|--|
| | C1 | Wood, Charles Cresson, <u>Information Security Policies Made Easy</u> , 8 th edition. PentaSafe Security Technologies Inc., May 2001. |
| | C2 | Brochure for e-Minder by CONQWEST, 1-page, undated. |
| | C3 | "e-Minder – E-Policy Management Solution," 14-pages, dated February 7, 2002, found at http://www.conqwest.com/eminder.htm . |
| | C4 | "Enterprise Application Integration: Key Component in Enterprise Management Market," Press Release by Intellitactics Inc., date February 7, 2002, found at http://www.itactics.com/html/news007.html |
| | C5 | Brochure for LivingPolicy by Intellitactics Inc., 2-pages, undated. |
| | C6 | "LivingPolicy," 12-pages, date February 7, 2002, found at http://www.itactics.com/html/lpm.html |
| | C7 | Brochure for Network Security Manager by Intellitactics, 4-pages, undated. |
| | C8 | "Network Security Manager," 7-pages, date February 7, 2002, found at http://www.itactics.com/html/nsm.html |
| | C9 | Pink, David (1999, February 10). Safeguarding Corporate Secrets. <u>The Record</u> , C1. |
| | C10 | Romberg, Denise (1999, March 12). Tying security tools together. <u>Computing Canada</u> , 23-24. |
| | C11 | Neshevich, Carol (1999, March 26). Intellitactics tackles security. <u>Network World Canada</u> , 9, 12-13. |

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)